

## **Policy for voluntary freezing/ blocking of online access of the Trading account**

### **Introduction:**

SEBI has introduced the framework for Trading Members for providing the facility of voluntary freezing/ blocking the online access of the trading account to their clients on account of suspicious activities (per requirements of SEBI circular ref.

SEBI/HO/MIRSD/POD-1/P/CIR/2024/4) which shall be the part of the trading member's Risk Management Policy.

### **Scope:**

This policy is applicable to all employees, business partners and clients of Enrich Financial Market Limited who directly or indirectly interact with clients. Adherence to this policy is essential to prevent any suspicious activities in clients' trading accounts.

Framework for Voluntary freezing/blocking of Trading Account:

Clients can freeze or block their trading account if suspicious activity is observed using the following options:

- 1) Sending an Email at [stoptrade@enrichmoney.in](mailto:stoptrade@enrichmoney.in) through the registered Email
- 2) IVR/tele calling to **044-40063663** from registered mobile number

The request received from the client will be validated internally and proceed for blocking.

**The timeline for freezing/ blocking of the online access of the clients' Trading account will be as under:**

- a. Request received during the trading hours and within 15 mins before start of trading.  
Within 15 Mins
- b. Request received after the trading hours and 15 min before the start of trading.  
Before the start of next trading session

**Trading hours shall be as follows:**

Capital Market Segment: 9.15 am to 3.30 pm, Equity Derivatives Segment: 9.15 am to

3.30 pm, Currency Derivatives Segment: 09.00 am to 05.00 pm, Commodity Derivatives Segment: 09.00 am to 11:30/11.55 pm.

**Enrich Financial Market Private Limited**

**CIN: U67190TN2013PTC089912**

**Ph:** 044 4006 3666 | **E:** [info@enrichmoney.in](mailto:info@enrichmoney.in) | **W:** [www.enrichmoney.in](http://www.enrichmoney.in)

**Process after validating request or Post freezing/blocking the Trading account.**

A communication will be sent on the registered email ID.

All the pending orders will be cancelled.

Details of open positions (if any) will also be communicated to client along with contract expiry information to eliminate risk of unwanted delivery settlement.

Re-enabling the client for online access of the trading account: -

The request to re-enable the online access of the trading account can be made by sending an email to [helpdesk@enrichmoney.in](mailto:helpdesk@enrichmoney.in) stating your Trading account details

(i.e Client Code, PAN and Name) from your registered email ID and the same will be processed promptly and within a reasonable time.

Points to be noted

Freezing/blocking is only for the online access to the client's trading account, and there shall be no restrictions on the Risk Management activities.

The request for freezing/ blocking does not constitute request for marking client Unique Client Code (UCC) as inactive in the exchange records.

Review of Policy:

The said policy shall be internally reviewed in case of statutory/regulatory amendments from time to time and updated to comply with the SEBI/Exchange guidelines.

Policy will be effective from date : 01 July 2024

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For Enrich Financial Market Private Ltd

Ponmudi R

Director

Date 28.06.2024



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